

# **Recommend for State Principal Deputy Assistant Secretary for International Finance and Development Barry Herman**

**BARRY M. HERMAN**

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**Contact information:**

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**Personal data**

date of birth: June 27, 1943  
citizenship: USA

marital status: married  
children:  
daughter, born 1974  
son, born 1978

**Employment**

Current position: Visiting Senior Fellow, Graduate Program in International Affairs, The New School, New York (from January 2006).

Areas of teaching: public finance and sovereign debt problems of developing countries; inclusive financial sector development (microfinance); politics of international development policy (supervising Practicums in International Affairs).

Areas of research/writing/service: economics and politics of managing sovereign debt difficulties (see academic projects below); measuring integrity and effectiveness of government (member, Board of Directors "Global Integrity," see below); global economic governance (including informal advisory work, see below)

Recent academic projects: Co-chair, Task Force on Debt Restructuring and Sovereign Bankruptcy, Initiative for Policy Dialogue (IPD), Columbia University, New York: formed in June 2004, the Task Force comprised a group of economic and legal experts on sovereign debt formed to consider improved or new mechanisms for resolving sovereign debt crises in developing countries. The outcome of the project is a book to be published by Oxford University Press, tentatively titled *Dealing Better with Developing Country Debt*, edited by Barry Herman, José Antonio Ocampo and Shari Spiegel

(manuscript completed in September 2008). For a 24 page interim report, see Barry Herman, "Sovereign Debt Task Force: Report of the Meeting of Authors," Initiative for Policy Dialogue, Columbia University, New York, October 15-16, 2004 at [http://www0.gsb.columbia.edu/ipd/pub/SovDebt10\\_04Notes.pdf](http://www0.gsb.columbia.edu/ipd/pub/SovDebt10_04Notes.pdf).

Co-director, with Christian Barry, of the "Ethics and Debt Project" at The New School and the Carnegie Council for Ethics in International Affairs, supported by a grant from the Ford Foundation (2004 – 2006): in that exercise, a group of authors from diverse academic and practical backgrounds contributed to a multi-disciplinary investigation of the "just" treatment of debt during sovereign debt crises (see publications).

Recent service projects: Member, Board of Directors, Global Integrity, Washington, D.C., an independent, non-profit organization, founded in late 2005, which undertakes studies of accountability, transparency and corruption in governments worldwide using local analysts who complete a detailed questionnaire that is peer reviewed by other analysts, leading to quantitative indicators as well as a detailed narrative, and which is complemented by a local investigative journalist's report on the country (see [www.globalintegrity.org](http://www.globalintegrity.org)).

(Previously, member of Methodology Advisory Committee for "Global Access," a project of the Center for Public Integrity, Washington, D.C., a pilot project for Global Integrity, 2003)

Informal advisor to various stakeholder groups working on the preparations for the "Follow-up International Conference on Financing for Development to Review the Implementation of the Monterrey Consensus," to be held in Doha, Qatar, November 29-December 2, 2008, including the NGO Committee on FfD and the Business Sector Steering Committee on FfD; also assisted the Friedrich Ebert Stiftung New York Office in planning a retreat held in October 2007 for present and former government representatives from the North and South, international institutions and civil society to "brainstorm" on what might be accomplished at the Doha Conference (for a report of that meeting, see <http://www.fes-globalization.org/events/download/RetreatMeetingReport.pdf>).

Participant in Scenario Building Project on “Global Economic Governance, 2020,” organized by the Friedrich Ebert Stiftung Geneva Office, meeting in 2008-9, to conceive of possible futures for global economic governance and policies to arrive at a desirable scenario.

Guest Contributing Editor, *Ethics and International Affairs*, Carnegie Council for Ethics in International Affairs, New York (for issue No. 1, vol. 21, Spring 2007), which contained a first set of papers from the Ethics and Debt project noted above.

United Nations career:

1976-2005

Final position:

Senior Advisor, Financing for Development (FfD) Office, Department of Economic and Social Affairs (DESA) of the United Nations Secretariat, April 1, 2004 to December 31, 2005.

*Main activities:*

I led the effort of the FfD Office to organize two sets of “multi-stakeholder consultations” taking place in multiple venues over two years and involving participants from governments, international institutions, the private sector, civil society organizations and academia, considering policy needs and proposals arising from the “Monterrey Consensus,” as follows:

In “Sovereign Debt for Sustained Development,” we convoked a multi-institution team drawn from the World Bank, the International Monetary Fund (IMF), and the United Nations Conference on Trade and Development (UNCTAD). Following inter-institutional agreement on a joint “issues paper” in October 2004, we organized two focused multi-stakeholder meetings in March 2005: the first on issues of concern to countries that access financial markets (New York), and the second, which was organized jointly with the Commonwealth Secretariat, on issues of concern to heavily indebted poor countries (Maputo). Finally, a global meeting was held in Geneva in June 2005 as part of an UNCTAD conference for sovereign debt managers. Reports of the meetings, including recommendations of the Secretariat based on those discussions, were posted on the Internet and presented to the UN General Assembly in October 2005. The multi-stakeholder consultations on debt are believed to have functioned as intended as an informal forum for frank discussions; they provided an explicit input to the 2006

report of the World Bank's Independent Evaluation Group, *Debt Relief for the Poorest: An Evaluation Update of the HIPC Initiative*.

In "Building Inclusive Financial Sectors for Development," we worked in close partnership with the United Nations Capital Development Fund (UNCDF) of the United Nations Development Program (UNDP). A multi-institution advisory team was convoked to support this effort, including the World Bank, the Consultative Group to Assist the Poor (CGAP), IMF, the International Labor Organization (ILO) and the International Fund for Agricultural Development. Regional meetings were organized in October 2004 in Amman (with the Microcredit Summit Campaign), in December 2004 in Bamako (with the African Microfinance Network), in March 2005 in Manila (with the Asian Development Bank) and in Santiago (with the World Savings Banks Institute and UNDP), and a final global meeting was hosted by ILO in Geneva in May 2005. In addition, an e-conference was organized with the World Bank Institute during March 28 – April 13 that attracted 800 participants from 111 countries. The final output of the project was the UN microfinance "Blue Book," *Building Inclusive Financial Sectors for Development*, published by the UN in 2006, of which I was one of three authors. The book is a distillation of experiences around the world regarding the demand, supply, financing, national policy and regulation of the provision of financial services to the poor (savings, credit, payments/transfers and insurance). The "Blue Book" has begun to support multi-stakeholder dialogues in individual developing countries to help prepare national strategies for building inclusive financial sectors.

Final line position:

Chief, Policy Analysis and Development Branch, FfD Office/DESA (February – December 2003); before the creation of the FfD Office, essentially the same function was served as Chief, Finance and Development Branch, Development Policy Analysis Division (DPAD)/DESA (March 1999 – February 2003).

*Principal responsibilities:*

I was responsible for most of the Secretariat reports on matters of international financial policy that were requested by the General Assembly and the Economic and Social Council, including on the net transfer of financial resources

between developed and developing countries and global financial integration, as well as ad hoc assignments in the financial area. In particular, I was responsible for reports undertaken on the initiative of the FfD Office and its predecessor unit, the Secretariat for the FfD Conference, often in cooperation with the Bretton Woods institutions, World Trade Organization (WTO) and various UN entities, government organizations and forums, and private sector and civil society organizations. Activities were generally related to the International Conference on Financing for Development, which was held in Monterrey, Mexico, March 18-22, 2002 (see [www.un.org/esa/ffd](http://www.un.org/esa/ffd)). Besides supporting the intergovernmental negotiations, activities included oversight of the development of a prototype of a “Global Clearinghouse,” an independent investor-oriented Internet portal for timely and reliable information on emerging economies, which DESA supported with Norwegian Government funds. It also included start-up support of a public/private expert group on risk mitigation in structured finance for infrastructure investment, which continues as a freestanding and independent activity. In addition, as part of the official preparations of the Monterrey Conference in 2000, I chaired an inter-secretariat working group (comprising, IMF, World Bank, WTO, Financial Stability Forum, ILO, Economic Commission for Latin America and the Caribbean (ECLAC), and others) on “systemic issues,” including proposals for improved resolution of debt crises of middle-income countries.

Previous position:

Chief, International Economic Relations Branch/DPAD/DESA, which comprised 15 professional economists in two Sections, 22 staff in all (the Branch was split up in a reorganization in February 1999, as preparations for what became the Monterrey Conference began to gather steam). The Branch had monitored macroeconomic trends and policy developments in the developed, developing and transition economies and in international trade and financial flows. The Branch was the lead unit in the Division’s preparation of the *World Economic and Social Survey* (published annually in June) and its annual update (December) for the Economic and Social Council. In addition to its conjunctural analysis, the Branch produced the thematic part of the *Survey*, a book within the book (the last one produced under my

responsibility was “Financial Development in the Globalizing World”, a 140 page review of financial sector development and policy concerns in developed, developing and transition economies and internationally). The Branch was also responsible for periodic reports to the General Assembly, as on the developing country debt crisis, on the net transfer of financial resources between developed and developing countries, and on global financial integration. In addition, the Branch was frequently called upon to prepare briefing notes and talking points for the Secretary-General on macroeconomic and financial policy issues, while it also prepared a monthly note on major economic developments for the Secretary-General that was circulated widely within the Secretariat (responsibility from March 1995 to February 1999).

*Principal innovations:*

*World Economic and Social Survey, 1995* introduced a new approach to one of the oldest annual UN publications (begun by the League of Nations, the first UN issue was in 1947). In addition to the traditional world economic review, outlook and statistical annex, we added an in-depth, multi-chapter, policy study (in 1995: main macroeconomic adjustment issues in developed, developing and transition economies). We also built on an earlier practice of presenting short chapters on salient social and economic topics (including in 1995: major population trends, socio-economic status of women, international trade in “new” products, the changing secondary market for developing country debt, and technological change and the supply of oil) and other studies. The American Library Association selected this *Survey* for one of its “Notable Government Document” awards.

In *World Economic and Social Survey, 1996*, we applied a case-study methodology to the in-depth policy analysis, allowing a better focus on the political economy of the policy issues under review (in this case, fixed investment). We also broke new ground in drawing upon significant inputs from UN expertise in the field, as we prepared case studies on investment in post-conflict situations in Cambodia, El Salvador and Haiti.

*World Economic and Social Survey, 1997* focused on fiscal adjustment and the role of the state. The 1997 *Survey* also revived a practice from the *Surveys* in the late 1940s of collaboration with non-Secretariat members of the UN

family, as a chapter was prepared jointly with the World Health Organization on the economics of resurgent tuberculosis (WHO reprinted the chapter and widely distributed it through its field offices).

*Survey authors network.* To strengthen our capacity in New York in the new electronic age, the loose contacts that had been maintained over the decades between the “Survey Branch” in New York and its regional counterparts were forged into a stronger network in 1995. While communication was largely through fax and e-mail, a face-to-face meeting of the network, including a representative of UNCTAD, was arranged in Lausanne on September 30, 1996 to share ideas and coordinate activities. After that meeting, the Economic and Social Commission for Western Asia (ESCWA) began a new publication that emulated a regular product of the Latin American and Caribbean group (ECLAC); also, ESCWA and the Economic Commission for Africa (ECA) decided to collaborate on analyses of Egypt (a country that is a member of both regional commissions); other collaboration efforts began and we agreed to work together for better distribution of our respective publications. One consequence of thus building up this network is that when the Asian financial crisis broke, ECLAC (responding to a request from its Brazil office), quickly contacted the Economic and Social Commission for Asia and the Pacific (ESCAP) for briefing notes on the Thai crisis and one year later, in July 1998, we organized a joint UN-focused expert group meeting to assess the crisis (see publications: *Global Financial Turmoil and Reform*).

*Study group on financing for development.* In a foretaste of the support that would be given to the preparatory process for the Financing for Development Conference and at the request of UN representatives of Egypt and the United States, I organized a joint delegation/staff study group on topics in financing for development. The study group met from May to July 1998. It was preceded by and then merged with an inter-Secretariat study group on the same subject, which included IMF and UNDP. This represented part of a first exploratory effort of some national representatives at the UN to promote new thinking about financing for development and that, with many ups and downs, helped start to build momentum toward the 2002 summit meeting.

Earlier chronology as UN staff member:

Entry on duty in June 1976 as an Assistant Economic Affairs Officer (P-2); promoted to Economic Affairs Officer (P-3 in April 1979 and P-4 in April 1982); promoted to Senior Economic Affairs Officer (P-5 in October 1987); made Chief of Section (July 1989); promoted to Chief of Branch in June 1995 (responsible for Branch from March 1995).

*Significant earlier ad hoc assignments and projects:*

I developed a segment on international economic relations of an intensive diplomatic training course (25 hours of classes in one week) for the Ministry of Foreign Affairs of the Government of Ethiopia, as part of a technical assistance project of the United Nations Institute for Training and Research (UNITAR); I taught the segment in June and November 1992 at the Ministry in Addis Ababa.

I served as principal assistant to the Special Representative of the Secretary-General, Mr. Jean Ripert, on a mission to Jordan for the Security Council, October 1990. I was responsible for technical aspects of the evaluation of the prospective economic impact of Iraqi sanctions on Jordan and with the Special Representative I drafted his report to the Security Council (document S/21938 of 13 November 1990).

I headed a staff of five economists in 1987 that prepared background information on trends and policies for the Secretary-General's Advisory Group on Financial Flows for Africa ("Wass Commission"), under the overall direction of the Secretary of the Group, Mr. Göran Ohlin, Assistant Secretary-General; also, with Mr. Ohlin and a staff colleague, I assisted the Chairman, Sir Douglas Wass, in drafting the report, published as *Financing Africa's Recovery: Report and Recommendations of the Secretary-General's Advisory Group on Financial Flows for Africa*, United Nations, New York, February 1988.

I helped service numerous United Nations meetings; e.g., from 1981 to 1984, I drafted a chapter of the annual report of the Economic and Social Council, which reviewed the Council's general debate on "international economic and social policy, including regional and sectoral developments" and assisted the Under Secretary-General in

his efforts to help the Presidents of the Council reach consensus texts about the world economic situation and — also in other bodies — on international cooperation for development.

I drafted occasional speeches on international economic and financial matters for the Under Secretary-General and for the Secretary-General (through the former Director-General). I drafted occasional press releases (jointly with the staff of the Department of Public Information).

I developed specifications for extensive data banks on trade, balance of payments and foreign debt of the developing countries (statistical clerk/programmers created the actual data banks on the UN mainframe computer). I supervised maintenance and upgrading of the data banks, which became the major source of the data used in Branch reports on these subjects from the mid-1980s until the late 1990s.

I undertook research from 1976 to 1978 on personal savings in developing countries relating to overall policy issues, major operating problems of savings institutions, personnel training practices, and processes for familiarizing potential users of savings accounts in rural and urban areas with benefits of institutionalized savings; I served as Assistant Secretary and helped draft the report of the Inter-Regional Workshop on Mobilizing Personal Savings in Developing Countries with Special Reference to Africa, held in Algiers, February 1-14, 1978.

I contributed to reports of the Secretary-General on permanent sovereignty over natural resources (1976), the role of the public sector in promoting the development of the developing countries (1977), and a draft manual for the negotiation of tax treaties between developed and developing countries (1978).

Other professional activities during UN career:

External peer reviewer of the report of the Independent Evaluation Group of the World Bank, *Debt Relief for the Poorest: An Evaluation Update of the HIPC Initiative*, published April, 2006.

Advisor to PricewaterhouseCoopers' *Opacity Index*, a project of the PricewaterhouseCoopers Endowment for the

Study of Transparency and Sustainability; see [www.opacityindex.com](http://www.opacityindex.com) (main involvement was in 2000, some continuing engagement in 2002).

Resource person for Commonwealth Expert Group on Protecting Countries against the Destabilizing Effects of Capital Market Volatility (June-August, 1998).

Member, Conference Planning Committee, Global Interdependence Center, University of Pennsylvania (1995-1997). The committee organized annual conferences of business, financial, academic and government officials at the Federal Reserve Bank of Philadelphia.

Pre-UN  
positions:

College teaching (specialties in development and international economics) in the departments of economics of the following institutions:

Herbert H. Lehman College of the City University of New York, 1975-1976,  
Dickinson College, Carlisle, Pennsylvania, 1973-1975,  
University of Michigan, Dearborn Campus, 1972-1973,  
University of Michigan, Ann Arbor (teaching fellow), 1968-1970.

Fellowship-supported independent research: empirical study of the role of foreign ownership and financing of manufacturing in Kenya (see publications), based in Nairobi, January-August, 1971.

Research assistance: under direction of George J. Stigler, University of Chicago, 1965-1966 (see "The economic effects of the antitrust laws," *Journal of Law and Economics*, 1968, pp. 224-258).

U.S. Government: summer employment with Management Improvement and Research Branch, Office of Management and Organization, Bureau of the Budget (now Office of Management and Budget), Executive Office of the President, Washington, D.C. (investigating potential government applications of selected quantitative management techniques), 1966 and 1967.

## Education

World Bank Group Executive Development Program, six-week course given by Harvard Business School with John F. Kennedy School of Government, Stanford Business School and others; completed in 1998.

PhD, University of Michigan (economics), 1974.  
PhD dissertation: Strategies of Foreign Manufacturing Investment in Poor Countries: A General Theory and an Application to Oil Refining in East Africa (Chairman: Elliot J. Berg).

MBA, University of Chicago (major: business economics), 1967.

BA, Columbia College, Columbia University (major: mathematics), 1965.

### Fellowships:

University of Michigan, Center for Research on Economic Development, Dissertation Research Fellowship, 1971.

I. L. Sharfman Economics Fellowship, 1969-1970.

National Science Foundation Summer Traineeship, 1969.

Ford Foundation Balance of Payments Research Fellowship, 1968.

### Languages:

Mother tongue: English

Other: French (UN Proficiency exam passed, December 1981).

Spanish (UN Proficiency exam passed, April 1978).

[N.B., neither French nor Spanish are fluent; conversation skills are particularly rusty]

## Signed papers, speeches and publications:

### Books:

*Dealing Fairly with Developing Country Debt* (with Christian Barry and Lydia Tomitova). Joint editor and author of one chapter [see "Ethics and Debt" project above under current employment]. Boston and Oxford: Wiley/Blackwell Publishing,

2007.

*Financing for Development: Proposals from Business and Civil Society* (with Federica Pietracci and Krishnan Sharma). Joint editor and author of introductory chapter [book comprises revisions of statements presented at hearings before the Preparatory Committee on Financing for Development, United Nations, New York, November-December 2000]. Tokyo and New York: United Nations University Press, 2001; reprinted for distribution in ASEAN countries by MDC Publishers, Kuala Lumpur, 2003.

*Global Financial Turmoil and Reform: A United Nations Perspective*. Supervising editor and author of introductory chapter; co-author of substantive chapter (see below) [book comprises revised papers and discussions from an expert group meeting organized at United Nations Headquarters, July 21-23, 1998]. Tokyo and New York: United Nations University Press, 1999.

*International Finance and Developing Countries in a Year of Crisis: 1997 Discussions at the United Nations* (with Krishnan Sharma), Joint editor and author of introduction and of a review chapter called, "Financial Flows, Financial Crisis, Financial Policy Needs" [book comprises revisions of statements before the Second Committee (Economics and Finance) of the General Assembly in October-November 1997]. Tokyo and New York: United Nations University Press, 1998; reprinted for distribution in India by Vistaar Publications, New Delhi, 1998; reprinted for distribution in ASEAN countries by MDC Publishers, Kuala Lumpur, 1999.

[As Barry Almark] *The Energy Decade: What Did It Do? What Did We Learn?* Joint editor and author of introduction (with Maarten de Kadt, Richard Leigh and Joe Shapiro), Special Issue of *Review of Radical Political Economics*, vol. XV, No. 3 (Fall 1983)

Articles in journals,  
chapters in books and peer-  
reviewed working papers:

"United Nations as a Forum for Reform of Global Institutions," *Economic and Political Weekly* (Mumbai), vol. XLIII, No. 45 (November 8, 2008), pp. 15-19.

“Global Trade and Financial Governance Together,” *Challenge*, vol. 51, No. 5 (September/October 2008), pp. 102-122.

“Justice and Developing Country Debt,” in *Sovereign Debts* (title subject to change), edited by M.N. Bhavani, AMICUS Law Books, Hyderabad, forthcoming (publishing a New School/GPIA working paper; permission to reproduce granted June 2008).

“Redesigning Global Economic Governance,” *Policy Innovations*, Carnegie Council for Ethics in International Affairs, May 6, 2008 (<http://www.policyinnovations.org/ideas/innovations/data/00047>). Reprinted in *Asia Times*, May 7, 2008 as “G7 Loses Grip on Global Policy to O5”; reprinted under original title by *South Bulletin* (South Centre), Issue 16 (June 1, 2008) and *The Road to Doha* (United Nations), Issue 15, June 2008, pp. 2-3.

“Why the Code of Conduct for Resolving Sovereign Debt Crises Falls Short,” Initiative for Policy Dialogue, Columbia University, Working Paper Series, February 1, 2008 ([http://www0.gsb.columbia.edu/ipd/pub/Herman\\_IPD\\_Sovereign\\_Debt\\_Working\\_Paper\\_3\\_5\\_08.pdf](http://www0.gsb.columbia.edu/ipd/pub/Herman_IPD_Sovereign_Debt_Working_Paper_3_5_08.pdf)).

Doing the Right Thing: Dealing with Developing Country Sovereign Debt,” *North Carolina Journal of International Law and Commercial Regulation*, vol. 32, No. 4 (Summer 2007), pp. 773-817.

“The Players and the Game of Sovereign Debt,” *Ethics and International Affairs*, vol. 21, No. 1 (Spring 2007), pp. 5-32. (Reprinted in *Dealing Fairly with Developing Country Debt*, 2007, cited above.)

“The Politics of Inclusion in the Monterrey Process,” DESA Working Paper No. 23 (ST/ESA/2006/DWP/23), April 2006. (Revised and updated version of paper published in Green and Chambers. See below).

“The Politics of Inclusion in the Monterrey Process,” in Jessica F. Green and W. Bradnee Chambers, eds., *The Politics of Participation in Sustainable Development*

*Governance*. Tokyo: United Nations University Press, 2006.

“How Well Do Measurements of an Enabling Domestic Environment Stand Up?” In Ariel Buira, ed., *The IMF and the World Bank at Sixty*. London: Anthem Press, 2005, pp. 281-310 (revision of a paper presented at a Technical Group Meeting of the Group of 24; see speaking engagements below).

“The Paris Club and the Monterrey Consensus,” In *Proceedings of the Fourth Inter-regional Debt Management Conference and WADMO Conference, Geneva, 10-11 November 2003*, Geneva: United Nations Conference on Trade and Development, 2005, pp. 29-31.

“Dealing Deftly with Sovereign Debt Difficulties,” Initiative for Policy Dialogue, Columbia University, Working Paper Series, August 30, 2004 (<http://www0.gsb.columbia.edu/ipd/pub/DealingDeftly1028.pdf>).

“Asuntos Inconclusos en el Diálogo Internacional sobre la Deuda” (“Unfinished Business in the International Dialogue on Debt”), *Revista de la CEPAL*, No. 81, Santiago, Chile, December 2003, pp. 65-79.

“Mechanisms for Dialogue and Debt-crisis Workout that Can Strengthen Sovereign Lending to Developing Countries,” in Ariel Buira, ed., *Challenges to the World Bank and IMF: Developing Country Perspectives*, London: Anthem Press, 2003, pp. 203-226 (revision of paper presented at a Technical Group Meeting of the Group of 24; see speaking engagements below).

“Civil Society and the FfD Initiative at the United Nations,” in Jan Aart Scholte, ed., with Albrecht Schnabel, *Civil Society and Global Finance*, London: Routledge, 2002, pp. 162-177.

“International Finance and the Developing Countries: Liberalization, Crisis and the Reform Agenda” (with Barbara Stallings), in Barry Herman, supervising editor, *Global Financial Turmoil and Reform: A United Nations Perspective*, Tokyo and New York: United Nations University Press, 1999, pp. 11-27.

“Macroeconomic Statistics in Intergovernmental Forums on Development: The Case of Net Transfers and the Terms of Trade” (ESA/STAT/AC.62/8), Expert Group Meeting on the Use of Macro Accounts in Policy Analysis, Organized by the Statistics Division, Department of Economic and Social Affairs, United Nations, New York, 5 October 1998 (published as “Use of Macroeconomic Accounts in Intergovernmental Forums: UN Experiences—Resource Transfers between Developed and Developing Countries” in United Nations, *Handbook of National Accounting: Use of Macro Accounts in Policy Analysis*, Studies in Methods, Series F, No. 81. New York: United Nations, 2002, pp. 188-194).

“Who's Afraid of the Global Economy?” *NABE News* (National Association of Business Economists, United States), No. 121 (March 1997), pp. 7-11.

“Turmoil and Danger Signals in the Global Financial Community?” *NABE News*, No. 116 (March 1996), pp. 1-8.

“Multilateral Cooperation for Development: Views on the Eve of the Halifax Summit” (with Cristián Ossa), in Roy Culpeper and Caroline Pestieau, eds., *Development and Global Governance*, Ottawa: International Development Research Centre and North-South Institute, 1996, pp. 103-113.

“Taking the Pulse of the Takers of the Pulse of the World Economy,” *NABE News*, No. 109 (January 1995), pp. 1ff.

“The Significance of the Changes in the Centrally Planned European Economies for the Developing Nations,” in *Re-entering the World Community: International Institutions and the “Eastern Bloc”*, Report of a Colloquium organized by the Friedrich Naumann Stiftung and the United Nations Association of the United States of America, December 16-17, 1991, New York: UNA-USA, 1992, pp. 32-34.

“International Finance of Developing Asia and the Pacific in the 1990s,” *Economic Bulletin for Asia and the Pacific*, vol. XLII, Nos. 1/2 (June/December 1991), pp. 14-36.

“Meanings of Government Saving and the Issues They Highlight for Developing Countries.” As summarized in

*Report of the Inter-Regional Workshop on Planning of Financial Resources for Development*, Addis Ababa, November 27-December 1, 1989, United Nations Department of Technical Co-operation for Development, New York, 1990 (TCD/SEM.90/5), pp. 40-46.

“El Argumento sobre el Alivio de la Carga de la Deuda es Irrefutable” (The Case for Debt Relief is “Air Tight”). In Alexandro Toledo, ed., *Perú y América Latina en Crisis: Como Financiar el Crecimiento*. Lima: Escuela de Administración de Negocios para Graduados/Instituto de Desarrollo Económico, 1990, pp. 165-192.

“Some Recent Issues and Evidence in the Behavior of World Equity Markets” (with Thom B. Thurston), *Working Paper Series*, No. 12, United Nations Department of International Economic and Social Affairs, New York, August 1989.

“The Outlook for Development,” in John Weeks, ed., *Debt Disaster? Banks, Governments and Multilaterals Confront the Crisis*, New York: New York University Press, 1989, pp. 3-19, based on a paper presented at a conference of the International Institute for Economic Advancement, Middlebury, Vermont, April 28-30, 1988.

“The U. S. Foreign Debt Problem: Restructuring the Balance of Payments” (with Stanley Lawson), in Khosrow Fatemi, ed., *International Trade and Finance: A North American Perspective*, New York: Praeger, 1988.

“The United States as a Debtor Country: Indicators of Resource Transfer and Solvency,” *Working Paper Series*, No. 2, United Nations Department of International Economic and Social Affairs, New York, February 1987.

“Debt, Finance, Trade and the Prospects of the Developing Countries,” in Joel McClellan, ed., *The Global Financial Structure in Transition: Consequences for International Finance and Trade*, Lexington, Mass.: Lexington Books, 1985, pp. 153-168.

[As Barry Almark] Review of *The Politics of Global Economic Relations*, Second Ed., by David H. Blake and Robert S. Walters, in *Review of Radical Political*

*Economics*, vol. 17, Nos. 1&2 (Spring & Summer 1985), pp. 267-8.

[As Barry Almark] “Dependent Development in the Third World in the Decade of Oil,” jointly with S.S. Alvarado, *Review of Radical Political Economics*, vol. XV, No. 3 (Fall 1983), pp. 97-114.

Review of *The International Politics of Natural Resources*, by Zuhayr Mikdashi, in *Natural Resources Forum*, vol. 2 (1978), pp. 309-11.

“A Case of Multinational Oligopoly in Poor Countries: Oil Refining Investment in East Africa,” *Journal of Development Economics*, 1975, pp. 121-143 (reprinted in Raphael Kaplinsky, ed. *Readings on the Multinational Corporation in Kenya*, Nairobi: Oxford University Press, 1978, pp. 261-283).

“Better Statistical Data on Companies and How to Compile Them: A Proposal,” Staff Paper No. 105 (July 1971), Institute for Development Studies, University of Nairobi, Nairobi, Kenya.

“Some Basic Data for Analyzing the Political Economy of Foreign Investment in Kenya,” Discussion Paper No. 112 (July 1971), Institute for Development Studies, University of Nairobi, Nairobi, Kenya.

“On Muddled Methods and their Meaning,” *Review of Radical Political Economics*, vol. 1, No. 1 (1969), pp. 75-84.

Invited statements and speaking engagements (partial listing):

“The Political Dimensions of Linking Debt and Trade,” a keynote at “Debt and Trade: Making Linkages for the Promotion of Development,” Seminar/Workshop organized by the South Centre, Center of Concern, Equatorial Guinea Investment Corporation and UNCTAD, Geneva, September 13-14, 2007.

“Kill the CPIA!,” Note for the Dialogue on the CPIA, Task Force on Aid, Initiative for Policy Dialogue, Columbia University, New York, April 5, 2007

<http://www0.gsb.columbia.edu/ipd/pub/Barry.Herman.pdf>

“On Mainstreaming Microfinance,” panel presentation at “Microfinance: Poverty’s Macro Solution?” First Annual Undergraduate Microfinance Conference, Wharton School, University of Pennsylvania, Philadelphia, March 30, 2007.

“Financing Development in Times of Globalization,” Zentrum für Internationale Wirtschaftsbeziehungen, Leipzig University, Leipzig, November 6, 2006.

“Justice and Developing Country Debt: Findings from the ‘Ethics and Debt’ Project,” International Relations and European Studies Department, Central European University, Budapest, October 26, 2006.

Panel moderator at session of UN Ad hoc Expert Group Meeting, “Strengthening the Business Sector and Entrepreneurship in Developing Countries: the Potential of Diasporas,” United Nations, New York, October 5, 2006.

“Financing for Development Process at the UN.” ATHGO International Symposium, United Nations, New York, April 26, 2006.

Moderator of panel discussion: “Development Economics: What Is to Be Done?” First Annual Economics Conference, “New Ideas”, New School for Social Research, New York, April 20, 2006.

“The Politics and Economics of Inclusive Finance.” Euro-Mediterranean Conference on Microcredit of Istituto per le Relazioni tra l’Italia e i Paesi dell’Africa, America Latina, Medio ed Estremo Oriente (IPALMO), Rome, December 5, 2005.

“Building Inclusive Financial Sectors: Introduction to the ‘Blue Book’.” Plenary statement at African Microfinance Conference, Cape Town, August 29 –September 1, 2005.

“Worldwide Initiatives for Building a More Inclusive Financial Sector.” XI Asamblea Anual del Grupo Regional para América Latina y el Caribe del Instituto Mundial de Cajas de Ahorro. Santiago, Chile, April 17-18, 2005.

“Postal Savings in ‘Inclusive Finance’ and in the Monterrey Consensus,” statement as part of the official opening of the Seventh Postal Savings Banks Forum, World Savings Banks Institute, Brussels, November 30 –December 1, 2004.

“The Politics of Inclusion in the Monterrey Process,” paper presented at workshop on Engaging the Disenfranchised: Developing Countries and Civil Society in International Governance for Sustainable Development, jointly organized by the Institute for Advanced Studies of United Nations University and the International Institute for Applied Systems Analysis. Laxenberg, Austria, June 20-22, 2004.

“Update on the Financing for Development Process.” Panel presentation during UN Summer Institute: Global Issues Facing the United Nations — Legal, Humanitarian and Political Perspectives. Columbia University School of Law, New York, June 10, 2004.

“How Well Do Measurements of an Enabling Environment for Development Stand Up?” Paper presented at XVIII<sup>th</sup> Technical Group Meeting of the Group of 24 (developing country representatives at IMF and the World Bank). Geneva, March 8-9, 2004).

“Status of Follow-up to the Financing for Development Conference” and “Status of International Consideration of the Debt Problems of Developing Countries.” Panel presentations at CONCORD Conference on European Responsibility in Global Governance: Financing for Development Follow-up Process.” Brussels, March 3, 2004 [CONCORD is a network of European non-governmental advocacy organizations].

“Current Controversies on Multilateral Economic Governance: WTO, Bretton Woods and UN”, presentation at a panel discussion on “Governance: the Balance between Economic Development and Political Stability,” sponsored by the *Journal of International Affairs*, Columbia University School of International and Public Affairs, New York, October 9, 2003.

“Financing for Development and the New North-South Dialogue,” Republic Commemoration Lecture 2003,

Central Bank of Sri Lanka, Colombo, May 29, 2003  
(published as Central Bank of Sri Lanka, Occasional  
Papers, No. 47, 2003).

“The International Community Should Continue Work on a  
Mechanism for Restructuring Sovereign Debt,” statement  
on a panel of the International Policy Dialogue: New  
Sovereign Debt Restructuring Mechanisms — Challenges  
and Opportunities, convened by the German Federal  
Government and the Development Policy Forum of  
“InWEnt”, Berlin, February 21-22, 2003.

“Strengthening Sovereign Lending through Mechanisms  
for Dialogue and Debt-crisis Workout: Issues and  
Proposals,” paper presented at XVI<sup>th</sup> Technical Group  
Meeting of the Group of 24 (developing country  
representatives at IMF and the World Bank), Port of Spain,  
Trinidad and Tobago, February 13-14, 2003.

Panelist at final and public session of the Conference on the  
Sovereign Debt Restructuring Mechanism, International  
Monetary Fund, Washington, D.C., January 22, 2003.

Discussant of draft book-length study presented at  
“Increasing Foreign Direct Investment in Least Developed  
Countries: the Role of Public-Private Interaction in  
Mitigating Risks,” a seminar convened by the Swedish  
Ministry for Foreign Affairs, Stockholm, October 11, 2002  
(included in proceedings published by Secretariat for  
Expert Group on Development Issues, Ministry for Foreign  
Affairs, pp. 23-24).

Presentation on “The Analytics of Debt Relief and  
Sustainability” in the policy panel organized by the  
Government of Belgium and chaired by its Foreign  
Minister, entitled “Debt Relief and Cancellation: Criteria  
and Parameters,” a side-event at the International  
Conference on Financing for Development, Monterrey,  
Mexico, March 22, 2002.

Speaker on two panels at the “NGO Global Forum”  
preceding the Monterrey Conference, “Financing the Right  
to Sustainable, Equitable Development: Dialogue between  
Civil Society and the Multilateral Institutions on Systemic  
Issues,” March 15, 2002, and “Official Development

Assistance and Debt: Dialogue between Civil Society and the Multilateral Institutions,” March 16, 2002.

“How the Issue of Debt Crisis is Treated in the Monterrey Consensus”, statement at a panel session of the “Conference on Humanizing the Global Economy,” organized by the Canadian Conference of Catholic Bishops, Consejo Episcopal Latinoamericano and United States Conference of Catholic Bishops, Washington, D.C., January 29, 2002.

Presentation on the “Current Status of FfD Preparations and Outlook,” for Commonwealth Meeting on Financing for Development: the Route to Monterrey, organized in New York in lieu of the cancelled Commonwealth Finance Ministers’ Meeting, November 30, 2001.

“The Imperative of Diplomacy for Development,” presentation to the Forum on Cooperation between Anhui Province and Multinational Corporations, organized by the People’s Government of Anhui Province and the China Council for the Promotion of International Trade, Huangshan, China, October 22, 2001 (proceedings published in Chinese).

Presentation on “The Status of Preparations for the International Conference on Financing for Development” to a colloquium on European NGO proposals for alleviating the debt burden of developing countries, organized by Coopération Internationale pour le Développement et la Solidarité (CIDSE), Brussels, July 12, 2001.

Presentation on “The Nature of the Financing for Development Process at the United Nations and the Relevance to the Work on Innovative Mechanisms for Public Goods Financing,” United Nations Environment Programme/World Bank Workshop on Promoting Sustainable Financing for the Protection of the Marine Environment from Land-based Activities, The Hague, July 10, 2001.

Presentation on the “Status of International Treatment of Debt Crises,” to Quaker Colloquium on the UN International Conference on Financing for Development, organized by the Quaker United Nations Office for Executive Directors and Staff of IMF and the World Bank,

and government representatives and Secretariat of the UN, Mohonk Mountain House, New Paltz, New York, June 18, 2001.

Chaired panel session and introduced discussion on “International Standards and Codes,” at the conference on “Mobilizing Capital in the Interest of Development,” a meeting of representatives of governments of low-income countries, the private financial sector and international organizations, organized by the Friedrich Ebert Foundation, Down Town Association, New York, April 27, 2001.

Briefing for Washington-based staff of non-governmental organizations on the outcome of the Second Meeting of the Financing for Development Preparatory Committee, organized by the Center of Concern and the Heinrich Böll Foundation, Washington, D.C., March 5, 2001.

Briefing on the Secretary-General’s Report on Financing for Development (A/AC.257/12), Ministry of Foreign Affairs of Japan, Tokyo, January 12, 2001.

Seminar on “Financing for Development,” Institute of Advanced Studies, United Nations University, Tokyo, January 15, 2001.

“Taking Stock of Financial Architecture Reform,” Department of Economics Seminar, New School Graduate Faculty, New York, December 4, 2000.

Briefing on Financing for Development for Conference of Member Organizations of Federazione Organismi Cristiani Servizio Internazionale Volontario (FOCSIV), Rome, June 17, 2000.

Lecture on international economic negotiations to Graduate Program in Multilateral Diplomacy, Seton Hall University, New York, March 20, 2000.

Presentation on “Global Economic Perspectives” at a panel of Global Perspectives Conference, McGill University Faculty of Management, Montreal, November 17, 1999.

“Social Costs and Benefits of Global Capital Markets,” presentation at a panel of the Net Impact Annual

Conference, University of Michigan Business School, Ann Arbor, November 13, 1999.

Participation in videoconferences for students called “The Ambassadors’ Club”, moderated by Ambassador Ahmad Kamal (Pakistan) on various topics for State University of New York at Stony Brook and for De Paul University, Chicago (May 12, 2005, October 28, 2004, May 1, 2003, November 18, 2002, March 16, 1999, February 22, 1999, November 5, 1998, and May 20, 1998).

UNITAR/Seton Hall School of Diplomacy and International Relations, course for UN delegates: Presentation on international finance negotiations, New York, February 19, 1999.

Presentation on “Turmoil in Financial Markets in Developed Countries”, for Globalization Series Seminars, UNDP, Human Development Report Office, December 7, 1998.

Presentation on “Shifting International Views about Capital-Account Liberalization,” given at ECLAC Workshop on Architecture of the International Financial System, Santiago, Chile, November 27, 1998.

Participant in panel on “The Global Economic Landscape in 2001”, Dow-Jones Conference on the Future of Global Investing, New York, June 17, 1998.

Joint briefing for UN delegations, staff and NGOs, with Ambassador Oscar de Rojas (Venezuela), Chairman of the Second Committee of the General Assembly, on “Impacts of Globalization and Financing for Development,” organized by the Non-Governmental Liaison Service (NGLS), New York, April 22, 1998.

Participant in panel on “The Impact of the Asian Financial Crisis on Project Financing,” organized by the Bar Association of the City of New York, April 20, 1998.

Briefing on external debt and other economic issues for African Working Group of Experts on Economic Matters, Organization of African Unity Office in New York (for African delegates to the United Nations), April 3, 1998.

Participant in panel on global outlook, Milken Institute Global Conference, Los Angeles, March 11, 1998.

Participant in a panel of speakers at “brainstorming” meeting of the Group of 77 (UN representatives from developing countries) to help prepare for resumed session of the General Assembly’s Second Committee on financing for development, February 12, 1998.

Television interviews (selection): South African Broadcasting Corporation, August 29, 2005, on the International Year of Microcredit; International Herald Tribune television (Susan Baumel), July 15, 2002, on Financing for Development; CNN/Financial News Network (“Trading Places”), July 16, 1998, on world economic situation and Asian crisis; BBC-TV (Patrick O’Connell), July 16, 1998 and December 22, 1997 on world economic situation.

Interviews given to print journalists (selection): Reuters South Africa, August 29, 2005, *América Economía* (Diedre Fretz), November 2, 2002; *Global Finance* (Jo Tuckman), August 10, 2002; *Christian Science Monitor* (David Frances Sear), December 23, 1997; *Bangkok Post* (Suchada Kulawat), January 16, 1998; *Nation*, Lahore (Haseeb Haider), July 24, 1998; *Emerging Markets Debt Report*, a newsletter of *American Banker* (Sevita Ayer), July 29, 1998.

